



**ANNUAL OVERALL GOAL INFORMATION  
FEDERAL FISCAL YEAR (FFY) 2004/2005**

CALTRANS DISTRICT 2  
District Local Assistance Engineer

The amount of overall goal, methodology, breakout of estimated race-neutral and race-conscious participation, and any DBE program updates are presented herein in accordance with Title 49 of the Code of Federal Regulations Part 26, and as described in the *Local Assistance Procedures Manual*.

The City of Redding submits its annual overall goal information and updates of our DBE program for your review and comment. We propose an annual overall DBE goal of 4.65% for the Federal Fiscal Year 2004/2005, beginning on October 1, 2004, and ending on September 30, 2005.

**Methodology**

*Step 1: Determination of a Base Figure\**

To establish City of Redding’s Base Figure of the relative availability of DBEs in relation to all comparable firms available for City of Redding’s FFY 2004/05 DOT-assisted contracting program, City of Redding has elected to utilize the Caltrans DBE Directory of Certified Firms (filtered to represent only DBE firms within City of Redding’s relevant market area) for calculating the numerator and the U.S. Census Bureau’s County Business Patterns (CBP) within the same geographical market area to calculate the denominator, as follows:

*For the numerator: Caltrans’ DBE Directory of Firms in Shasta County*  
*For the denominator: Census Bureau’s CBP list of Firms in Shasta County*

To derive the relative availability of DBEs, the number of DBEs available in Caltrans’ DBE directory was divided by the number of all comparable Census Bureau CBP firms available.

Application of this formula yielded the following baseline information:

$$\frac{\text{Number of Ready, Willing and Able DBEs}}{\text{Number of Ready, Willing and Able Firms}} = \text{Base Figure} = \frac{\text{DBE's}}{\text{CBP's}}$$

The Base Figure resulting from this calculation is as follows:

$$\text{Base Figure} = \frac{\text{DBEs}}{\text{CBPs}} = \frac{2}{43} = .0465$$

$$\text{Base Figure} = .0465 \times 100 = 4.65\%$$

*Step 2: Adjusting the Base Figure*

Upon establishing the Base Figure, City of Redding reviewed and assessed other known relevant evidence to determine what additional adjustments, if any, were needed to narrowly tailor the Base Figure to the local marketplace. Factors considered in determining the City of Redding’s DBE participation that can be expected, absent discrimination, included the following:

**A. Current Capacity of DBEs Measured by Actual Attainments**

The volume of work that DBEs performed in the City of Redding’s DOT-assisted contracting program for Fiscal Years 2000/01, 2001/02, 2002/03, and 2003/04 based on actual DBE utilization paid report summaries where applicable, is as follows:

<b>Fiscal Year Awarded</b>	<b>Work Category</b>	<b>Project Name</b>	<b>Total Contract Amount</b>	<b>Total DBE Payments</b>	<b>Contractor’s DBE Target</b>	<b>City’s Program Goal</b>
2000-01	Highway, street bridge & tunnel construction	Sundial Bridge Glass Deck	\$2,384,115	\$36,959	1.55%	4%
2001-02	Engineering & environmental consulting services	Cypress Bridge	\$1,303,131	\$10,295	0%	4%
2002-03	Highway, street, bridge & tunnel construction	Cypress Widening	\$1,684,618	\$121,031	7.18*	2.4%
2003-04	No projects					5.8%

\* See discussion in Paragraph B below

**B. FY 2000-01, FY 2001-02, FY 2002/03, and FY 2003-04**

The Cypress Widening Project, which was awarded in 2002/03, has now been completed. The 7.18% represents the contractor’s actual attainment for the project. All other projects listed in the above table have not yet been completed. In 2001-02, consultant contracts were awarded for the Cypress Bridge Project. Although no goal was established by the consultant, a subcontractor was listed who was a certified DBE contractor. This subcontractor is no longer listed as a certified DBE. The total DBE payments for this project represent the payments made during the year 2001/02 and 2002/03, during which time, the subcontractor was a certified DBE.

**C. Resultant Goal Adjustment**

The impact of these factors, historical DBE participation, current and projected year DBE participation, availability of DBE’s in local market (consulting vs. construction), and the minority goal defined by the federal government for the Redding Economic Area displays no need for goal adjustment for DBE participation in the City of Redding’s DOT-assisted contracts for FY 2004/05.

**Utilization of Race-neutral and Race-conscious Methods**

Of the overall annual 4.65% goal for DBE participation, City of Redding projects meeting 1.16% of the goal utilizing race-neutral methods, including making efforts to assure that bidding and contract requirements facilitate participation by DBEs and other small businesses; encouraging prime contractors to subcontract portions of the work that they might otherwise perform themselves; and providing technical assistance, and other support services to facility consideration of DBEs and other small businesses. The remaining 3.49% of the goal is anticipated to be accomplished through race-conscious measures, which includes establishing contract specific goals on contracts with subcontracting possibilities, when needed, to meet City of Redding’s overall annual DBE goal.

**Public Participation in Setting Overall Annual DBE Goals**

In conformance with Public Participation Regulatory Requirements of 49 CFR Part 26.45 and Caltrans Local Assistance Procedures Manual (LAPM)-Chapter 9, the City of Redding will publish a Public Notice in general circulation media, announcing the City of Redding’s proposed overall annual goals for the FY 2004/05 contracts assisted by DOT. Such Notice will inform the public that the proposed goals and their rationale are available for inspection at the City of Redding during normal business hours for 30 days following the date of the Public Notice and, that the City of Redding and FHWA will accept comments on the goals for 45 days from the date of the Public Notice. The required public participation provision will be fully satisfied prior to submitting City of Redding’s DBE Program and Overall Annual DBE Goal for final review and approval.

**DBE Program Updates**

The individual designated as the DBE Liaison Officer has changed from Bill Goodwin, City Engineer, to Barry Tippin, City Engineer, 777 Cypress Avenue, P. O. Box 496071, Redding, CA 96049-6071, telephone 530-245-7155, e-mail address [btippin@ci.redding.ca.us](mailto:btippin@ci.redding.ca.us).

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Barry Tippin, City Engineer  
DBE Liaison Officer

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Date